# REPORT OF THE FIFTEENTH SESSION OF THE IPCC SAN JOSE, COSTA RICA, 15-18 APRIL 1999

	ABBREVIATIONS USED			
AOGCM	Atmosphere-Ocean General Circulation Model			
CFC	Chlorofluorocarbon			
COP	Conference of the Parties to the UNFCCC			
DM	German Mark			
HFC	Hydrofluorocarbon			
JWG	Joint Working Group of IPCC and UNFCCC			
PRSTSEQ	Policy-relevant Scientific, Technical, Socio-economic Question			
TEAP	Technology and Economic Assessment Panel of the Montreal Protocol			
SBSTA Subsid	diary Body for Scientific and Technological Advice of the COP			
SPM	Summary for Policymakers			
SRF	Swiss Franc			
TAR	Third Assessment Report of the IPCC			
TAR/WGx	Contribution of WGx to the TAR			
TFB	Bureau of TFI			
TFI	Task Force on National Greenhouse Gas Inventories of the IPCC			
	Group on Climate Scenarios for Impact Assessment of the IPCC			
TSU	Technical Support Unit of TFI or WG			
UN	United Nations			
UNDP	UN Development Programme			
UNEP	UN Environment Programme			
UNFCCC	UN Framework Convention on Climate Change			
WG	Working Group of the IPCC			
WMO	World Meteorological Organization			

#### 1. OPENING OF THE SESSION (agenda item 1)

Dr. Robert T. Watson, Chairman of the IPCC, opened the session at 10:05 hours on Wednesday, 15 April 1999 in Hotel Corobici, San José, Costa Rica.

The addresses of Prof. G.O.P. Obasi (along with a separate personal message wishing success for the session), Secretary-General of the World Meteorological Organization, and of Dr. Klaus Töpfer, Executive Director of the United Nations Environment Programme and Director-General of the United Nations Office in Nairobi, were read out. Mr. Michael Zammit Cutajar, Executive Secretary of the United Nations Framework Convention on Climate Change, also addressed the session.

The Inaugural Address was delivered by Her Excellency Elizabeth Odio, Vice President and Minister for Environment and Energy, of the Republic of Costa Rica.

The agenda as adopted is attached in Appendix A.

- 2. APPROVAL OF THE DRAFT REPORT OF THE FOURTEENTH SESSION (VIENNA, 1-3 OCTOBER 1998) (agenda item 2)
- 2.1 A new paragraph 5.2 was added, reading as follows:

- 5.2 With respect to the identification of policy-relevant scientific questions to be addressed in the Synthesis Report, the Chairman informed the Panel that there had been inputs from some governments, including the European Union, through the SBSTA. He requested further inputs; all the inputs would be taken into account in drafting the policy-relevant scientific questions for the consideration of the Panel at its Fifteenth Session.
- 2.2 With the above amendment, the draft report was adopted.

\$ 350,000

- 3. PROGRAMME & BUDGET FOR THE YEARS 2000-2002 (agenda item 3)
- 3.1 In the course of his presentation of the programme and budget, the Secretary announced the following pledges and receipts into the IPCC Trust Fund since the mailing of the relevant document:

Pledge

UNFCCC

USA \$ 2,700,000 About SRF 3,780,000

Receipts

UK £ 140,000 About SRF 320,000

Germany DM 195,000 About SRF 158,000

3.2 The Panel adopted a revised budget for the year 1999 and a budget for the year 2000. The decision of the Panel is attached in Appendix B.

About SRF

504,000.

- 4. ACCEPTANCE OF THE ACTIONS OF THE JOINT SESSION OF WORKING GROUPS I & III (SAN JOSE, COSTA RICA, 12-14 APRIL 1999) (agenda item 3)
- 4.1 A document (IPCC-XV/Doc. 9b dated 16 April 1999) was distributed to the Panel. It listed the changes that would be made to the assessment report underlying the approved SPM to make the two consistent with each other. A copy of the document is attached in Appendix C.
- 4.2 The Panel accepted
  - a. the Summary for Policymakers (SPM) of the IPCC Special Report, Aviation and the Global Atmosphere, that was approved by the Joint Session;
  - b. the assessment in the IPCC Special Report, Aviation and the Global Atmosphere, underlying the SPM, that was accepted at the Joint Session.
- 4.3 A statement was made by the representative of the International Civil Aviation Organization (ICAO) on the completion of the Special Report. It is attached in Appendix D.
- 5. POLICY-RELEVANT SCIENTIFIC/TECHNICAL QUESTIONS FOR CONSIDERATION IN THE SYNTHESIS REPORT (agenda item 5)
- 5.1 The Panel amended the title to read "Synthesis Report: Policy-relevant scientific, technical and socio-economic questions".
- 5.2 The decision of the Panel on the scientific, technical and socio-economic questions (PRSTSEQs) to be considered in the Synthesis Report is attached in Appendix E. One delegation brought up the issue of nuclear safety in connection with question 8 but the Panel did not include the issue in the PRSTSEQs.

- 5.3 The preparation of the Synthesis Report would begin following the choice of the Writing Team. The Writing Team would be chosen by the Chairman, in consultation with the Co-Chairmen of the Working Groups. The IPCC Bureau would be informed of the composition of the Writing Team.
- 5.4 The draft SPM and the longer report of the Synthesis Report would undergo concurrent expert and government technical review for which 8 weeks would be allowed. The final drafts of the SPM and the longer report would be mailed 8 weeks before the session of the Panel at which their approval/adoption would be sought.
- 5.5 The final draft SPM (the draft that would be sent to governments for their consideration for action at the session of the Panel) of the Synthesis Report would be translated into the official UN languages.
- 6. PROPOSED AMENDMENTS TO THE IPCC PROCEDURES FOR PREPARATION, REVIEW, APPROVAL, ACCEPTANCE AND PUBLICATION OF ITS REPORTS (agenda item 6)
- 6.1 The Panel decided that the draft Report on Good Practice Guidance in Inventory Preparation and Uncertainty Management would be accepted by the Panel. The general question of agreeing on the IPCC methodologies and guidelines would be addressed at the time the Terms of Reference for the Task Force on National Greenhouse Gas Inventories are adopted.
- 6.2 The Panel authorized the Chairman, with the Secretary and the Chairman of the Ad-Hoc Group on Procedures, to make minor editorial changes to the draft Procedures to make the document internally consistent. It then adopted the Procedures for the Preparation, Review, Acceptance, Adoption, Approval and Publication of its Reports.
- 7. IPCC FCCC INTERACTION (agenda item 7)
- 7.1 The Panel was informed that three IPCC side events were planned for the upcoming SBSTA meeting on the Special Reports on aviation, technology transfer and emissions scenarios. A decision on the dates for the Sixth Session of the Conference of the Parties to the United Nations Framework Convention on Climate Change was awaited.
- 7.2 At a meeting of the IPCC/FCCC Joint Working Group meeting held earlier in the week, the JWG recognized the difficulty the IPCC faces in writing popular accounts of its conclusions. A suggestion was made that the sponsoring organizations, particularly UNEP, could undertake such a task.
- 7.3 With respect to the co-operation between the IPCC and the Technology and Economic Assessment Panel (TEAP) under the Montreal Protocol, the Panel was informed that the subject of the hydrofluorocarbons (HFCs), which are ozone-friendly (and hence can be used as substitutes for such substances as the CFCs) but not climate-change-friendly, would be dealt in the report of Working Group III in the TAR. This would be done in co-operation with the TEAP. In this connection, a TEAP/IPCC joint meeting of experts was being planned.
- 7.4 With respect to the Programme on National Greenhouse Gas Inventories, the Panel recalled that it had established a Task Force (TFI) with a Bureau (TFB). The TFB had been requested to guide the Programme until IPCC-XVI. The UNFCCC representative(s) would be invited to the meetings of the TFB. Such issues as the co-operation between the IPCC and SBSTA on the question of inventories would be addressed through the JWG.
- 7.5 The Panel was further informed that the UNFCCC Secretariat would be requesting COP-5 for an increase in the UNFCCC contribution to the IPCC Trust Fund.

- 8. IPCC MONTREAL PROTOCOL INTERACTION (agenda item 8)
- 8.1 The agenda item was discussed in the context of agenda items 7 and 9.3. See paragraph 7.3 above.
- 9. PROGRESS OF ONGOING WORK (agenda item 9)
- 9.1 Working Group I: The first meeting of Lead Authors took place in Paris on 30 November 2 December 1998. The chapter meetings of the Lead Authors for the TAR had been planned and were taking place. The Task Group on Climate Scenarios for Impact Assessment (TGCIA) was preparing the CD-ROM containing the data in the Data Distribution Centres. The TGCIA was also engaged in (i) the choice of climate scenarios that reflect the operation of various stabilization scenarios, (ii) the question of down-scaling the AOGCM outputs for use in impact assessments, (iii) promoting inter-comparison of regional models, (iv) the provision of training in the use of climate scenarios through activities such as the START and (v) updating the data in the Data Distribution Centres.
- 9.2 Working Group II: The first meeting of Lead Authors took place in Geneva on 5-8 January 1999. A zero-order draft of the TAR/WGII was circulating for informal review. Regional meetings in Malta (3-5 June 1999), Japan (21-23 June 1999), Morocco (2-6 August 1999), and Peru (4-6 August 1999) were planned to identify new and recent work on vulnerabilities to climate change in Small Island States, Asia, Africa and Latin America. Draft guidance papers on cross-cutting issues (Costing Methodologies; Decision Analysis Frameworks; Consistent Assessment and Reporting of Uncertainties; Development, Sustainability, Equity and Climate Change) had been prepared for consideration for application as appropriate by the Lead Authors of Working Groups II and III.
- 9.3 Working Group III: The first meeting of Lead Authors took place in Bilthoven, The Netherlands on 2-4 December 1998. Two Expert Meetings were scheduled on development, sustainability and equity (Colombo, 26-28 April 1999) and on costing methods (Tokyo, 29 June 1 July 1999). One IPCC Workshop on Integrated Assessment Modelling took place in Kadoma, Zimbabwe on 22-25 November 1998. The issue of HFCs was being handled co-operatively with the TEAP (see also paragraph 7.3). The Working Group was also co-ordinating its efforts with the UNDP/World Energy Council/UN-DESA assessment on energy and sustainability.
- 9.4 The Special Reports on technology transfer, emissions scenarios and land use, land use change and forestry were on track. The Working Group Technical Support Units had collaborated and were collaborating in a productive manner on these reports and on the completed report on aviation.
- 9.5 Programme on National Greenhouse Gas Inventories: The Interim Bureau of the Task Force met on 29-30 March 1999 in Paris. The draft report on uncertainties and good practices in inventory management was progressing according to schedule. The TSU/TFI was expected to start functioning in Japan by mid-1999.
- 9.6 Special Report on Land Use, Land Use Change and Forestry: Dr. David Verardo who would provide the technical support in the preparation of the report was located in the TSU office of Working Group II. The Lead Authors had been chosen and the schedule called for the completion of the report in time for delivery to the SBSTA in mid-2000.
- 10. OTHER BUSINESS (agenda item 10)
- 10.1 Possible electronic distribution of the IPCC documentation for rapid dissemination was raised. All possible means of communication, taking into account the needs of the developing countries and the need for speed and cost savings, would be explored.

- 11. TIME AND PLACE OF THE NEXT SESSION (agenda item 11)
- 11.1 The Secretary would announce the time and place of the next session.
- 12. CLOSING OF THE SESSION (agenda item 12)
- 12.1 The session closed at 1850 hours on Saturday, 18 April 1999.
- 12.2 The list of attendees is attached in Appendix F.





UNEF

INTERGOVERNMENTAL PANEL ON CLIMATE CHANGE

IPCC-XV/Doc. 2 (24.II.1999)

FIFTEENTH SESSION San Jose, 15-18 April 1999

ENGLISH ONLY

#### ANNOTATED PROVISIONAL AGENDA

Delegates can register from 0800 hours on Thursday, 15 April 1999 onwards.

## 1. OPENING OF THE SESSION (IPCC-XV/Doc. 1, IPCC-XV/Doc. 2)

The Chairman will open the session at 1000 hours on Thursday, 15 April 1999 in Hotel Corobicí-Melia in San Jose, Costa Rica.

Simultaneous interpretation in Arabic, Chinese, English, French, Russian and Spanish will be provided during the plenary meetings of the session. All documentation will be in English only.

It is suggested that the working hours be from 1000 to 1300 hours for the morning meeting and from 1500 to 1800 hours for the afternoon meeting with breaks as decided by the Chairman.

- 1.1 Opening of the session by Dr Robert T. Watson, Chairman of the IPCC
- 1.2 Address of Dr Klaus Töpfer, Executive Director of the United Nations Environment Programme & Director-General of the United Nations Office in Nairobi, read by Dr Ricardo Sanchez, UNEP Regional Director for Latin America and the Caribbean
- 1.3 Address of Prof. G.O.P. Obasi, Secretary-General of the World Meteorological Organization, read by Dr D.D.C. Don Nanjira of the WMO
- 1.4 Address by Mr Michael Zammit Cutajar, Executive Secretary of the United Nations Framework Convention on Climate Change
- 1.5 Inaugural Address by Her Excellency Elizabeth Odio, Vice President of the Republic of Costa Rica and the Minister for Environment and Energy
- 1.6 Vote of thanks by Dr N. Sundararaman, Secretary of the IPCC
- 1.7 Opening remarks by Dr Robert T. Watson, Chairman of the IPCC
- 1.8 Approval of the agenda

The agenda may be amended at any time during the session.

- 2. APPROVAL OF THE DRAFT REPORT OF THE FOURTEENTH SESSION (IPCC-XV/Doc. 3)
- 3. PROGRAMME & BUDGET FOR THE YEARS 2000–2002 (IPCC-XV/Doc. 4)
- 4. ACCEPTANCE OF THE ACTIONS OF THE JOINT SESSION OF WORKING GROUPS I & III (SAN JOSE, 12-14 APRIL 1999) (IPCC-XV/Doc. 5a, IPCC-XV/Doc. 5b)
- 5. POLICY-RELEVANT SCIENTIFIC/TECHNICAL QUESTIONS FOR CONSIDERATION IN THE SYNTHESIS REPORT (IPCC-XV/Doc. 6)

It may be recalled that the Panel is to approve the policy-relevant scientific/technical questions. The decision adopted at the Thirteenth Session (Maldives, 22 & 25-28 September 1997) states: "The IPCC agrees that the IPCC Chairman and the Working Group Co-chairs will develop a list of key policy-relevant scientific questions in consultation with the President of the Conference of the Parties (COP) and the chairs of the subsidiary, and other, bodies of the COP, using the mechanism of the IPCC/FCCC Joint Working Group. The list will be circulated to Governments for comment and the IPCC will approve the list at its Fourteenth Session. This list can be revised at a later date under the responsibility of the IPCC Chair, and with the approval of the IPCC."

The Chairman will submit the list of questions for discussion and approval.

6. PROPOSED AMENDMENTS TO THE IPCC PROCEDURES FOR PREPARATION, REVIEW, APPROVAL, ACCEPTANCE AND PUBLICATION OF ITS REPORTS (IPCC-XV/Doc. 7)

It may be recalled that the Panel amended the Principles Governing its Work at the Fourteenth Session (see IPCC-XV/Doc. 3).

Proposed amendments to the Procedures for Preparation, Review, Approval, Acceptance and Publication of the IPCC Reports were circulated to Governments prior to the Fourteenth Session, when action was deferred on the item. Since then, revisions were made to the proposed amendments by the Ad Hoc Group on Principles and Procedures. The revised proposed amendments were circulated to Governments for further comments.

The Chairman of the Ad Hoc Group, Mr David Warrilow, will summarize the Government comments received.

The Chairman will invite the Panel to discuss the proposals and amend the Procedures if and as necessary.

#### 7. IPCC - FCCC INTERACTION

A meeting of the IPCC/FCCC Joint Working Group is planned during the session. The chairman will brief the Panel on the activities of the JWG. He may invite a representative of the FCCC to add his/her remarks.

## 8. IPCC - MONTREAL PROTOCOL INTERACTION

The issue of HFCs, which are ozone-friendly but not climate-friendly, will be addressed in the report of Working Group III in the TAR in co-operation with the Technology and Economic Assessment Panel under the Montreal Protocol. A joint meeting of experts is planned in his regard. The Panel will be briefed on the status of this effort.

### 9. PROGRESS OF ONGOING WORK

- 9.1 Working Group I
- 9.2 Working Group II
- 9.3 Working Group III
- 9.4 Programme on National Greenhouse Gas Inventories including the establishment of the Interim Bureau of the Task Force on National Greenhouse Gas Inventories and the Technical Support Unit for the Task Force
- 9.5 Special Report on Land Use, Land Use Change and Forestry.

The Co-Chairs of the Working Groups will brief the Panel on their respective ongoing activities including the preparation of Special Reports. The Head of the IPCC/OECD/IEA Unit on Greenhouse Gas Inventories and the representative of the Institute for Global Environmental Strategies (IGES) in Japan will brief the Panel on item 9.4. The Technical Support Unit for the Task Force will be located in the IGES. The Chairman of the IPCC will brief the Panel on item 9.5.

#### 10. OTHER BUSINESS

## 11. TIME AND PLACE OF THE NEXT SESSION

It is suggested that the Sixteenth Session take place on 1-6 May 2000 in a place to be decided. The agenda will focus on approving/accepting the SPM/underlying assessment in the Special Report on Land Use, Land Use Change and Forestry and on accepting the actions of Working Group III on the Special Report on Emissions Scenarios and the Special Report on Technology Transfer.

#### 12. CLOSING OF THE SESSION

The session is expected to close at 1800 hours on Sunday, 18 April 1999.





INTERGOVERNMENTAL PANEL ON CLIMATE CHANGE

IPCC-XV/Doc. 4, Rev. 1 (18.IV.1999)

FIFTEENTH SESSION San Jose, 15-18 April 1999 **ENGLISH ONLY** 

### BUDGET FOR 2000, FORECAST BUDGET FOR 2001 AND INDICATIVE BUDGET FOR 2002

(Submitted D. Reifsnyder, the Co-Chair of the IPCC Financial Task Team)

# Decision on the IPCC Work Programme and Budget (Fifteenth Session, San Jose, 15-18 April 1999)

The IPCC Financial Task Team met on seven occasions during the Fifteenth Session of the IPCC with representatives from each of the IPCC Working Groups and participation of the IPCC Chair and the IPCC Secretariat. Based on these discussions and the resulting recommendations of the Co-Chair of the IPCC Financial Task Team, the Panel meeting in plenary:

- 1) Adopted the revisions to the budget for 1999 contained in the attached Table 1, and took note of the information contained in the attached Tables 2 and 3;
- 2) Adopted the budget for 2000 contained in the attached Table 4 and took note of the information contained in the attached Tables 5 and 6;
- Took note of the information on the National Greenhouse Gas Inventories Programme in the attached Table 7;
- 4) Took note of the budget forecast for 2001 contained in the attached Table 8;
- 5) Requested the Secretariat, in consultation with the IPCC Financial Task Team, to prepare an indicative budget for 2002 and transmit it to governments as soon as possible;
- 6) Requested the IPCC Secretariat to ask Governments to provide information on the appropriate authorities within their governments having responsibility for financial issues with respect to the IPCC to ensure timely and accurate communication of the IPCC's needs for financial contributions;
- 7) Took note of the status of contributions contained in IPCC-XV/Doc. 4 and requested the Secretariat to update and circulate to Governments this information by 30 June 1999, and thereafter to provide this information at 6 month intervals;
- Welcomed the comparison of actual versus budgeted expenditures for the previous year contained in IPCC-XV/Doc.4 and requested the Secretariat to include such a comparison for the preceding year in each proposed budget;
- 9) Requested the Secretariat to develop a specific proposal for an outreach programme, including its financial implications, for consideration by the IPCC Bureau at its Nineteenth Session;
- 10) Stressed that additional financial resources are urgently needed by the IPCC to continue preparation of the Third Assessment Report (TAR) and to support the work of the United Nations Framework Convention on Climate Change, in particular through preparation of the Third Assessment Report (TAR) and several Special Reports as well as to continue its work on the National Greenhouse Gas Inventories Programme;

- Urged governments and others in a position to do so to contribute early and generously to the WMO/UNEP IPCC Trust Fund to enable the IPCC to continue its work programme, to increase participation of experts from developing countries and economies in transition, and to ensure that the IPCC is able to respond to the needs of the Framework Convention on Climate Change (UNFCCC) in a timely manner;
- Agreed that the IPCC continues to provide a critical mechanism for improving the world's understanding of climate change, for promoting capacity-building among scientific, technical and socio-economic experts from developed and developing countries and countries with economies in transition, and for establishing a sound scientific basis from which governments may make policy decisions;
- Decided, therefore, to explore additional sources of funding, for example from the Global Environment Facility, that would contribute to the IPCC's efforts to promote capacity-building with respect to developing countries and economies in transition and to promote public awareness of climate change.

## Table 1 BUDGET FOR 1999

Activity	Amount (CHF)
Joint Working Group Meetings	45,000
National GHG Inventories	315,000
IPCC XV, Bureau 18, WG I/III (6 days) 4/1999	
	1,134,600
Bureau 19, (2 days) 12/1999	
	176,390
Lead Author Meetings	
WG-I	481,950
WG-II	1,530,900
WG-III	850,500
SR Land Use Change/Forestry	680,400
SR Technology Transfer	374,200
SR Emissions Scenarios	85,050
Review Editors	
WG-I	0
WG-II	107,730
WG-III	0
SR Land Use Change/Forestry	28,350
SR Technology Transfer	147,420
SR Emissions Scenarios	22,680
Expert Meetings	
WG-I	255,150
WG-II	283,500
WG-III	481,950
Translation & Publication (6 languages)	
SR Aviation	180,000
Support to developing country Co-Chairs	150,000
Secretariat	600,000
Outreach	40,000
TOTAL	7,970,770

Table 2 BUDGET DETAILS FOR 1999

Activity	Purpose	DC/EIT support	Other expenditure	TOTAL
Meetings of the JWG	Co-ordinate between IPCC and UNFCCC	42,600	2,400 (Secretary of the IPCC)	45,000
National GHG Inventories	On-going		315,000	315,000 <sup>1</sup>
IPCC XV, Bureau 18, WG/WGIII joint session (6 days, April 1999)	Approve/accept SR- Aviation Approve SyR- LAs Amend Procedures, Approve SyR. Qs	894,600 (115 DC/EIT, 17 Bur., 10 LA)	240,000	1,134,600
Bureau 19, (2 days, Dec.1999)		96,390 (17 Bur.)	80,000	176,390

<sup>&</sup>lt;sup>1</sup> Detailed information is contained in Table 7

Meetings of Lead Authors	Prepare TAR			4,003,000
WG-I		481,950		
WG-II		(85 journeys) 1,530,900		
		(270 journeys)		
WG-III		850,500 (150 journeys)		
Special Reports	Prepare/complete			
	SRs			
Sinks	-	680,400 (120 journeys)		
Tech. Transfer		374,200 (66 journeys)		
Em. Scenarios		85,050		
Review Editors	Prepare TAR	(15 journeys)		306,180
WG-I		0		1
		(0 journeys)		
WG-II		107,730 (19 journeys)		
WG-III		0		
		(0 journeys)		
Special Reports	Prepare/complete SRs			
Sinks	OKS	28,350		
Tech. Transfer		(5 journeys) 147,420		
Em. Scenarios		(26 journeys) 22,680		
		(4 journeys)		
Expert Meetings				1,020,600
WG-I Emissions Scenarios				
		(10 journeys)		
GWPs		(15 journeys)		
TGCIA			:	
SUBTOTAL		(20 journeys)		
WG-II		255,150		
Regional meetings		(50 journeys)		
(Africa, Asia, Latin America, Small Island				
States)				
ŞUBTOTAL				
WG-III		283,500		
Impacts of Annex I Actions		(10 journeys)		
Cl. Change, Development, Sustainability & Equity		(15 journeys)		

		<del>,                                    </del>	,
Social & Behavioral Aspects of Decision- making	(10 journeys)		
Non-Energy Non-CO <sub>2</sub> Mitigation	(10 journeys)		
Costing Methodologies in Mitigation, Adaptation & Impacts	(10 journeys)		
Economic Instruments to Mitigate Climate Change	(10 journeys)		,
Mitigation Scenarios	(10 journeys)		
TEAP/IPCC	(10 journeys)		
SUBTOTAL	481,950		
Translation & Publication SPM (6 languages)			180,000
Aviation		180,000	
Support to developing		150,000	150,000
country Co-Chairs		,	,
Secretariat		600,000	600,000
Outreach		40,000	40,000
TOTAL	6,363,370	1,607,400	7,970,770

Table 3
CONTRIBUTIONS NEEDED IN 1999

Item	Amount (CHF)	
Total budget for 1999 (from Table 1)	7,970,770	
Less carry-over from 1998	966,463	
Less contributions received by 1 April 1999	1,212,000	
Less WMO contribution	190,000	
Less UNEP contribution (\$ 110,000)	160,000	
Less UN FCCC contribution (\$ 400,000)	560,000	
FUNDS NEEDED	4,882,307	

Table 4
BUDGET FOR 2000

Activity	Amount (CHF)
Joint Working Group Meetings	45,000
National GHG Inventories	575,000
WG III Session, 7 days	1,144,500
Bureau 20, 2 days	152,040
IPCC XVI, 7 days	1,031,100
Bureau 21, 1 day	42,000
WG I session, 5 days	947,100
Lead Author/Review Editors Meetings	
WG-I	708,750
WG-II	567,000
WG-III	963,900
SR Land Use Change/Forestry	283,500
Other expenditures	36,000
Expert Meetings	
WG I	113,400
WG II	226,800
WG III	231,750
Translation & Publication (6 languages)	
SR Land Use	180,000
SR Emissions Scenarios	180,000
SR Technology Transfer	204,000
Support to developing country Co-Chairs	150,000
Outreach	100,000
Secretariat	620,000
TOTAL	8,501,840

Tab le 5
BUDGET ESTIMATE FOR 2000

Activity	Purpose	DC/EIT support	Other expenditure	TOTAL
JWG Meetings	Co-ordinate between	42,600	2,400	45,000
	IPCC and UNFCCC		(Secretary of the IPCC)	
National GHG	On-going	575,000		575,000 <sup>1</sup>
Inventories				
WGIII session	Approve/Accept	850,500	294,000	1,144,500
7 days, March 2000	SRT, SRE.	(120 DC/EIT, 5	(interpretation etc.)	
Place TBD	TAR progress	Bur., 25 LA/RE)		
Bureau-20 (back-to-	Various	68,040	84,000	152,040
back with WG III),		(12 Bur.)	(interpretation etc.)	,
2 days			<u> </u>	

<sup>&</sup>lt;sup>1</sup> Detailed information is contained in Table 7

Skl. Accept WG III   Skl. Accept WG II   Skl. Accept WG I   Skl. Accept	IPCC XVI	Approve/Accept	737,100	294,000	1,031,100
on National GHG Inventories. Adopt 2001 budget. Other. Various  Bureau 21 (back-to- back with IPCC- XVI) 1 day WG 1 session 5 days, Jan. 2001 Place TBD  Meetings of Lead Authors and Review Editors  WG-II Prepare TAR  Prepare TAR  Prepare TAR  Special Report SRL  Expert Meetings WG-II Adaptation and  Mitigation Vulnerability  WG-II Adaptaton  Mitigation Vulnerability  MG-II Adaptaton  MG-II Adap	7 days, 1-7 May 2000	SRL. Accept WG III	(100 DC/EIT, 17	(interpretation etc.)	
Inventories	Place IBD		Bul., 15 LA/KE)		
Adopt 2001 budget. Other.   Various   Various   42,000   42,000					
Description					
Dack with IPCC   XVI) 1 day   WG I session   S days, Jan. 2001   Place TBD   WG I-TAR   WG I-TAR   WG I-TAR   WG I-TAR   Decidence of the place of Lead Authors and Review Editors   Prepare TAR   T08,750 (125 journeys)   S days, Jan. 2001   Prepare TAR   T08,750 (125 journeys)   S days, Jan. 2001   Prepare TAR   T08,750 (125 journeys)   S days, Jan. 2001   Prepare TAR   T08,750 (125 journeys)   S days, Jan. 2001   Prepare TAR   T08,750 (125 journeys)   S days, Jan. 2000, Place TBD   T37,100 (100 journeys)   S days, Jan. 2001   S days, Jan.					
XVI) 1 day   WG I session   Approve/Accept   737,100   (100 DC/EIT, 5   Bur., 25 LA/RE)   (interpretation etc.)   947,100   1	Bureau 21 (back-to-	Various	·	42,000	42,000
WG I session 5 days, Jan. 2001   Polar TAR   Complete   Prepare TAR	1			(Interpretation etc.)	
5 days, Jan. 2001 Place TBD         WG 1-TAR         (100 DC/EIT, 5 Bur., 25 LA/RE)         (interpretation etc.)           Meetings of Lead Authors and Review Editors         Meetings of Lead Authors and Review Editors         36,000 2         2,559,150           WG-II         Prepare TAR         708,750 (125 journeys) 567,000 (100 journeys)         963,900 (170 journeys)           WG-III         Prepare TAR         963,900 (170 journeys)           Special Report         Complete         283,500 (50 journeys)           SRL         Expert Meetings         For TAR, lack of regional literature           WGI TGCIA         113,400 (20 journeys)           WG II Adaptation and Vulnerability         113,400 (20 DC/EIT)           WG III Development, Sustainability and Equity May 2000, Place TBD         85,050 (15 DC/EIT)           2nd Meeting on         56,700					
Place TBD   Bur., 25 LA/RE				i	947,100 1
Meetings of Lead Authors and Review Editors         36,000 ²         2,559,150           WG-I         Prepare TAR         708,750 (125 journeys) 567,000 (100 journeys) 963,900 (170 journeys)         567,000 (100 journeys)           WG-III         Prepare TAR         963,900 (170 journeys)           Special Report         Complete         283,500 (50 journeys)           SRL         Expert Meetings         For TAR, lack of regional literature           WGI         113,400 (20 journeys)           WG II Adaptation and         113,400 (20 DC/EIT)           Mitigation Vulnerability         85,050 (15 DC/EIT)           WG III Development, Sustainability and Equity May 2000, Place TBD         85,050 (15 DC/EIT)           2nd Meeting on         56,700		WG I-TAR		(interpretation etc.)	
Authors and Review Editors  WG-I Prepare TAR 708,750 (125 journeys) WG-II Prepare TAR 567,000 (100 journeys) WG-III Prepare TAR 963,900 (170 journeys)  Special Report Complete 283,500 (50 journeys)  SRL  Expert Meetings For TAR, lack of regional literature  WG II 113,400 (20 journeys)  WG II Adaptation and 113,400 (20 DC/EIT)  Mitigation Vulnerability  WG III 85,050 (15 DC/EIT)  WG III 85,050 (15 DC/EIT)  WG III 85,050 (15 DC/EIT)  Znd Meeting on 56,700			Bur., 25 LA/RE)	25,000 2	0.550.150
Editors   WG-I	-			36,000 "	2,339,130
WG-I         Prepare TAR         708,750 (125 journeys) 567,000 (100 journeys) 963,900 (170 journeys)           WG-III         Prepare TAR         963,900 (170 journeys)           Special Report         Complete         283,500 (50 journeys)           SRL         Expert Meetings         For TAR, lack of regional literature           WGI TGCIA         113,400 (20 journeys)           WG II Adaptation and         113,400 (20 DC/EIT)           Mitigation Vulnerability         113,400 (20 DC/EIT)           WG III Development, Sustainability and Equity May 2000, Place TBD         85,050 (15 DC/EIT)           2nd Meeting on         56,700					
WG-II	Editors				
WG-II	WG-I	Prepare TAR	708,750		
WG-II         Prepare TAR         567,000 (100 journeys) 963,900 (170 journeys)           Special Report         Complete         283,500 (50 journeys)           SRL         For TAR, lack of regional literature         571,950           WGI TGCIA         113,400 (20 journeys)           WG II Adaptation and         113,400 (20 DC/EIT)           Mitigation Vulnerability         113,400 (20 DC/EIT)           WG III Development, Sustainability and Equity May 2000, Place TBD         85,050 (15 DC/EIT)           2nd Meeting on         56,700			,		
Special Report   Complete   283,500 (50 journeys)	WG-II	Prepare TAR			
Special Report   Complete   283,500 (50 journeys)		_			
Special Report   Complete   283,500   (50 journeys)	WG-III	Prepare TAR			
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Expert Meetings   For TAR, lack of regional literature   113,400 (20 journeys)	CDI		(50 journeys)		
TGCIA   Tigorial literature   113,400   (20 journeys)		For TAR lack of			571 950
WGI         113,400           TGCIA         (20 journeys)           WG II         113,400           Adaptation and         (20 DC/EIT)           Mitigation         113,400         4,950           Vulnerability         (20 DC/EIT)           WG III         85,050         (15 DC/EIT)           Development, Sustainability and Equity         (15 DC/EIT)           May 2000, Place TBD         56,700	Lapert Meetings	1 -			371,550
TGCIA         (20 journeys)           WG II Adaptation and         113,400 (20 DC/EIT)           Mitigation Vulnerability         113,400 (20 DC/EIT)           WG III Development, Sustainability and Equity May 2000, Place TBD         (15 DC/EIT)           2nd Meeting on         56,700	WGI	108101111111111111111111111111111111111	113,400		
WG II Adaptation and         113,400 (20 DC/EIT)           Mitigation Vulnerability         113,400 (20 DC/EIT)           WG III Development, Sustainability and Equity May 2000, Place TBD         85,050 (15 DC/EIT)           2nd Meeting on         56,700			-		
Adaptation and  (20 DC/EIT)  Mitigation Vulnerability  113,400 (20 DC/EIT)  WG III Development, Sustainability and Equity May 2000, Place TBD  2nd Meeting on  (20 DC/EIT)  85,050 (15 DC/EIT)  85,050 (15 DC/EIT)	• •				
Mitigation       113,400       4,950         Vulnerability       (20 DC/EIT)         WG III       85,050         Development,       (15 DC/EIT)         Sustainability and       Equity         May 2000,       Place TBD         2nd Meeting on       56,700	1 ——		1		
Vulnerability  WG III Development, Sustainability and Equity May 2000, Place TBD  2nd Meeting on  (20 DC/EIT)  85,050 (15 DC/EIT)  85,050 (15 DC/EIT)	Adaptation and		(20 DC/EIT)		
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WG III Development, Sustainability and Equity May 2000, Place TBD  2nd Meeting on  85,050 (15 DC/EIT)  85,050 (15 DC/EIT)				4,930	
Development, Sustainability and Equity May 2000, Place TBD  2nd Meeting on  (15 DC/EIT)  (15 DC/EIT)  (5 DC/EIT)	Vulleraulity		(20 DC/ELL)		
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Sustainability and Equity May 2000, Place TBD  2nd Meeting on 56,700					
May 2000, Place TBD  2nd Meeting on 56,700			,		
Place TBD  2 <sup>nd</sup> Meeting on 56,700	Equity				
2 <sup>nd</sup> Meeting on 56,700					
	Place TBD				
	and a re-		56.700		
Affiliex 1 actions (10DC/E11)					
	Annex 1 actions		(IODC/EII)		
Integrated Assessment 85,050	Integrated Assessment		85.050		
in Latin America 15 DC/EIT)			l		

<sup>&</sup>lt;sup>1</sup> Budgeted in 2000 because expenditures will occur this year.
<sup>2</sup> These costs are likely to be incurred for the meetings of Lead Authors held in developing countries. An average cost per meeting of 12,000, based on the 1998 experience, and 3 meetings in developing countries are assumed.

Support to		150,000	150,000
Outreach	Information seminars on SRE, SRT, SRL	100,000	100,000 1
languages):  SRL SRE SRT	Information garrings	180,000 180,000 204,400	100,000
Translation & Publication SPM (6			564,000

Table 6 CONTRIBUTIONS NEEDED IN 2000

Item	Amount (CHF)
Total budget for 2000 (from Table 4)	8,501,840
Less WMO contribution	190,000
Less UNEP contribution (\$ 110,000)	160,000
Less UN FCCC contribution (\$500,000)	700,000
FUNDS NEEDED	7,451,840

<sup>&</sup>lt;sup>1</sup> Contingent on a Decision of the IPCC Bureau

#### Table 7 IPCC NATIONAL GREENHOUSE GAS INVENTORIES PROGRAMME

Activity Budget (CHF)		<del></del>		
Company of the Compan	1999	2000	2001	2002
1. Methods Assessment			3,	·
1.1 Good Practice/Managing Uncertainty		irik ( Charde Chaldismindamedikan) kecamen birana		entre entre record contract conditions and record and record
Support for 17 participants from DC/EIT per meeting	416,000	193,000		$96,000^2$
Meeting Support	137,000			
1.2 Land Use Change and Forestry <sup>3</sup>				AND
Support for 17 participants from DC/EIT per meeting	1	96,000	193,000	193,000
1.3 Database on Emissions Factors <sup>4</sup>			Note 4	
1.4 Other improvement of Methodologies <sup>5</sup>				
Support for 17 participants from DC/EIT per meeting	ŀ	96,000	96,000	96,000
1.5 Response to Requests from SBSTA - e.g. Articles 5, 7, 86				/mmv1m3111ma-1141/2014119.ug.
Support for 17 participants from DC/EIT per meeting	Tamping Communication Communic	50,000	193,000	193,000
2. Translation, Dissemination, and Training	N. All Market and Mark	en de la companya de	Percentago de responsación de establicación de establicac	alialmade et filologica e malambanare e manere e e el car
2.1 Translation <sup>7</sup>	6,000		Note 7	
2.2 Visiting Scientist at IGES 8			Note 8	
2.3 Dissemination through Web 9	MATERIAL STATE AND		Note 9	Character and a second
2.4 Outreach to Developing Countries 10			Note 10	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,
3. Core Support 11	357,500	No. of the Control of	Note 11	**************************************
4. Miscellaneous	N/22 4/20/20/10/20 May May May May 10/20/20/20/20/20/20/20/20/20/20/20/20/20		-CAP-IP-CART-T-CAV-AV-AV-AV-AV-AV-AV-AV-AV-AV-AV-AV-AV-A	Visitatia national management
5.1 Three Task Force Bureau Meetings per year				
Support for participants from DC/EIT	110.000	120,000	120,000	120,000
5.2 Participation in other meetings by TSU Staff	7.2			
5.3 Support for Developing Country Co-Chair <sup>12</sup>	20,000	20,000	20,000	20,000
5.4 Move to Japan			(Paulin damaina) America da menary y many	
Total	1,102,000	575,000	622,000	718,000
Less funds at the OECD	787,000			
Request from WMO/UNEP IPCC Trust Fund	315,000	575,000	622,000	718,000

<sup>&</sup>lt;sup>1</sup> Four sectoral expert meetings and one expert meeting on Managing Uncertainty and QA/QC in 1999, and two meetings in 2000.

<sup>&</sup>lt;sup>2</sup> Review of Good Practice Guidance including one expert meeting.

<sup>&</sup>lt;sup>3</sup> Further development of the methodologies for LUCF (i.e. related to article 3.3, 3.4 and 3.7) to start in 2000 on the basis of needs identified in the Special Report on LUCF and taking into account existing work on Harvested Wood Products. One expert meeting is budgeted for 2000 and two expert meetings for 2001 and 2002.

<sup>&</sup>lt;sup>4</sup> The TSU will initiate the work on the database in 2000. An expert meeting on emission factors is not currently included in the budget but that may be needed.

<sup>&</sup>lt;sup>5</sup> Follow up of the conclusions of the expert meeting on Feedback on the use of the IPCC 1996 Guidelines and issues arising from good practice (New halogenated gases, new sources and emissions at high altitude).

<sup>&</sup>lt;sup>6</sup> Allowance for possible support of IPCC experts in SBSTA activity at the request of FCCC and/or for an IPCC expert meeting on these issues back to back with SBSTA in 2000. Further methodological work in 2001 and 2002 following the decisions at COP 6.

<sup>&</sup>lt;sup>7</sup> Full translation of inventory documents at a professional rate would be very expensive; countries are encouraged to support this activity. <sup>8</sup> Japan will undertake support within available resources of the Japanese Special Fund and other available resources to fund a visiting scientist at the TSU.

<sup>9</sup> Will be covered by the Japanese Special Fund.

<sup>&</sup>lt;sup>10</sup> This issue has been raised at the JWG.

<sup>11</sup> Covered by the Japanese Special fund including 4 professionals, 2 secretaries, office facilities and its maintenance and travel budget for the staff; further funds for communications and some funds for i.e. consultancy activities for the TSU as has thus far has been supported through the TSU at the OECD.

Travel, telephone, on site secretarial support

<sup>13</sup> Includes 3 trips to Japan

Table 8
BUDGET ESTIMATE FOR 2001

Activity	Purpose	DC/EIT support	Other expenditure	TOTAL
JWG Meetings	Co-ordinate between IPCC and UNFCCC	42,600	2,400 (Secretary of the IPCC)	45,000
National GHG	On-going	622,000		622,000¹
Inventories				
WGII session	Approve/Accept	850,500	210,000	1,060,500
5 days	WG II-TAR	(100 DC/EIT, 5	(Interpretation etc.)	
Feb/Mar 2001, Place TBD		Bur., 45 LA/RE)		
WG III session,	Approve/Accept WG	708,750	210,000	918,750
5 days, <i>Feb/Mar 2001</i>	III-TAR	(100 DC/EIT, 5	(Interpretation etc.)	
Place TBD		Bur., 20 LA/RE)		
Bureau-22	Various	0	84,000	84,000
(Back-to-back with IPCC		(see the row	(Interpretation etc.)	
XVII, 2 days)		below)		
May/Jun 2001,Place TBD				
IPCC XVII	Accept WG actions.	737,100	252,000	989,100
6 days	Approve/Accept	(100 DC/EIT, 17	(Interpretation etc.)	-
May/Jun 2001, Place TBD	Synthesis Report	Bur., 13 LA/RE)		
Bureau-23	Various	96,390	42,000	138,390
1 day, TBD		(17 Bur.)	,,	<b>,_,</b>
Meetings of Lead		(-1 - 1-1)		396,900
Authors and Review				550,500
Editors				
Lattorb				
IPCC	Prepare Synthesis	396,900		
100	Report	(70 journeys)		
Expert Meetings	Roport	(70 Journeys)		198,450
				·
WG I/WG II	Work Programme for	113,400		
TGCIA	the Fourth Assessment	(20 journeys)		
WG I/WG II/WG III	Application &	85,050		
	Interpretation Emissions	(15 DC/EIT)		
	Scenarios for the Fourth			
	Assessment			
Outreach	Information seminars on		250,000	$250,000^2$
	TAR findings		·	-
Translation of final draft			60,000	1,260,000
of Synthesis Report			·	, ,
•				
Translation &				
Publication (6 lang.)				
, <b>,</b> ,				
Synthesis Report			600,000	
WG I - TAR			200,000	
WG II - TAR			200,000	
WG III - TAR			200,000	
Support to developing			150,000	150,000
country Co-Chairs			200,000	150,000
Secretariat			620,000	620,000
TOTAL		3,652,690	3,080,400	6,733,090
LOIAD		3,034,090	2,000,400	0,755,090

<sup>&</sup>lt;sup>1</sup> Detailed information is contained in Table 7 <sup>2</sup> Contingent on a Decision of the IPCC Bureau





INTERGOVERNMENTAL PANEL ON CLIMATE CHANGE

IPCC-XV/Doc. 9b (16.IV.1999)

IPCC FIFTEENTH SESSION San Jose, 15-18 April 1999 **ENGLISH ONLY** 

# IPCC SPECIAL REPORT:

#### AVIATION AND THE GLOBAL ATMOSPHERE

CHANGES TO THE REPORT

(Submitted by the Co-Chairs of Working Groups I and III)

Changes to the underlying report consistent with the approved Summary for Policymakers, excluding minor corrections and editorial changes which may be required for consistency, clarity and accuracy.

#### RECORD OF CHANGES BY CHAPTER

Changes required to make the underlying report consistent with the approved Summary for Policymakers, excluding minor corrections and editorial changes which may be required for consistency, clarity and accuracy.

Figures in the underlying chapters will, where necessary be made consistent with those in the Summary for Policymakers

#### Chapter 1

Add ", partly as a result of changes in the hydrological cycle," between "... face new climatic stresses..." and "... and many could decline or fragment..." (page 2, line 18 of the FinalGovernment Distribution draft)

#### Chapter 2

No changes

#### Chapter 3

### Old text in Section 3.7.2, page 37, and Table 3-9:

For an optical depth of 0.3, the best-estimate value of the global radiative forcing in 2050 (scenario Fa1) is 0.10 W m-2. The uncertainty range is a little larger than in 1992 and estimated to amount to a factor of 4. Hence, the likely range of forcing extends from 0.03 to 0.4 W m-2 (see Table 3-9). The forcing for other scenarios has not been computed in detail but rough estimates scale with the fuel consumption. The climatic consequences of this forcing are discussed in Chapter 6. The amount and effects of additional aviation-induced cirrus-clouds cannot be assessed because we have no information on future cirrus trends in air traffic regions. The assessment of the other indirect effects is beyond the scope of present understanding.

Table 3-9: Global radiative forcing by contrails and indirect cloud effects in 1992 and 2050 (Scenario Fa1). No entry indicates insufficient information for a best-estimate value.

1992 Radiative forcing	Best estimate	Range with 2/3 probability	Status of understanding
Line-shaped contrail-cirrus	0.02 W m <sup>-2</sup>	0.005 to 0.06 W m <sup>-2</sup>	fair
Additional aviation-induced cirrus- clouds		> 0, up to an order of 0.04 W m <sup>-2</sup>	poor
Other indirect cloud effects		either sign, unknown magnitude	very poor
2050 Radiative forcing			· · · · · · · · · · · · · · · · · · ·
Line-shaped contrail-cirrus	0.10 W m <sup>-2</sup>	0.03 to 0.4 W m <sup>-2</sup>	fair
Additional aviation-induced cirrus- clouds		> 0, possibly larger than due to the contrails	very poor
Other indirect cloud effects		either sign, unknown range	very poor

# New text for same paragraph in 3.7.2 and for Table 3-9, as of 14 April 1999, 1.40 p.m.:

For an optical depth of 0.3, the best-estimate value of the global radiative forcing in 2050 (scenario Fa1) is 0.10 W m<sup>-2</sup>. The uncertainty range is a little larger than in 1992 and estimated to amount to a factor of 4. Hence, the likely range of forcing extends from 0.03 to 0.4 W m<sup>-2</sup> (see Table 3-9). The forcing for other scenarios has not been computed in detail but rough estimates scale with the fuel consumption. The climatic consequences of this forcing are discussed in Chapter 6.

An estimate of the range of aviation-induced cirrus cloudiness in 2050, as required for this assessment, is not available in the scientific literature. For 1992, a range for the best-estimate of the additional aviation-induced cirrus-clouds was derived from decadal trends in high fuel-use regions (0 - 0.2% global cover; Section 3.5.1.5). For the 2050 time period, a different approach is required. Observed contrail frequencies and trends in cirrus occurrence have been found to correlate with aviation fuel consumption (see Figures 3-14 and 3-18). Therefore, the aviationinduced cirrus cloudiness between 1992 and 2050 is projected to grow in proportion to the total aviation fuel consumption in the upper troposphere. This fuel consumption grows by a factor of 4 between 1992 and 2050 in scenario Fa1. Hence the best-estimate of additional global cirrus cover in 2050 would range from 0 to 0.8%. For the same radiative sensitivity as in 1992, the associated radiative forcing could be between 0 and 0.16 W m<sup>-2</sup> or up to 1.6 times the value given for line-shaped contrail cirrus in 2050 (see Table 3-9). The forcing could be outside this range if future aviation causes strong changes in the optical properties of the cirrus clouds. Saturation effects (Sausen et al., 1999) will likely limit any increase in cirrus cover in heavy air traffic regions. Because of these uncertainties the status of understanding of radiative forcing from additional aviation-induced cirrus-clouds in 2050 is very poor. The assessment of the other indirect effects (Section 3.6.5) is beyond the scope of present understanding.

Table 3-9: Globai radiative forcing by contrails and indirect cloud effects in 1992 and 2050 (Scenario Fal). No entry indicates insufficient information for best-estimate values.

1992 Radiative forcing	Best estimate or range	Uncertainty range with 2/3 probability	Status of understanding
Line-shaped contrail-cirrus	0.02 W m <sup>-2</sup>	0.005 to 0.06 W m <sup>-2</sup>	fair
Additional aviation-induced cirrus-clouds	0 - 0.04 W m <sup>-2</sup>		poor
Other indirect cloud effects		either sign, unknown magnitude	very poor
2050 Radiative forcing		:	
Line-shaped contrail-cirrus	0.10 W m <sup>-2</sup>	0.03 to 0.4 W m <sup>-2</sup>	fair
Additional aviation-induced cirrus- clouds	0 - 0.16 W m <sup>-2</sup>		very poor
Other indirect cloud effects		either sign, unknown range	very poor

#### Minor Changes in Chapter 3, as of 14 April 1999, 1.40 p.m.:

#### Executive Summary of Chapter 3, bullet 7:

• Persistent contrails often develop into more extensive contrail cirrus in ice-supersaturated air masses. Ice particles in such persistent contrails grow by uptake of water vapor from the surrounding air. The area of the Earth covered by persistent contrails is controlled by the global extent of ice-supersaturated air masses and the number of aircraft flights in those air masses. Present contrail cover will increase further as air traffic increases. The properties of the persistent contrails depend on the aerosol formed in the exhaust plumes. Regions of ice-supersaturation vary with time and location and are estimated to cover an average of 10% to 20% of the Earth surface at mid-latitudes. Ice-supersaturation in these regions is often too small to let cirrus form naturally so that aircraft act as a trigger to form cirrus clouds.

#### Executive Summary of Chapter 3, bullet 9:

 The aviation-induced aerosol present in exhaust plumes and accumulated in the background atmosphere may indirectly impact cirrus cloud cover or other cloud properties throughout the atmosphere. Observations and models are not yet sufficient to quantify the aerosol impact on cirrus cloud properties.

#### Last sentences at end of Section 3.5.1.5:

This value is about 3 times the currently computed linear-contrail cover of 1.4% in those areas and is equivalent to about a 0.3% cover of the Earth surface, 0.2% more than for line-shaped contrails. If no counteracting process took place that reduced cloudiness over this same period, then this value gives an upper bound for total aviation-induced cloudiness.

### Chapter 4

#### No changes

#### Chapter 5

#### Changes Made to Chapter 5 as a Result of Costa Rica Meeting

1. In three locations (Executive Summary, Section 5.4.2.3, Section 5.5) the revised chapter references changes in erythemal irradiance derived from observed ozone trends.

Reasons: These numbers were added to Chapter 5 to be consistent with changes made to the SPM at the meeting. The numbers are from the new WMO/UNEP Ozone Assessment.

- 2. A reference to the new WMO/UNEP Ozone Assessment was added in accordance with 1.
- 3. In section 5.4.2.4 the second and third paragraphs have been slightly modified to bring them into line with more recent information that is now in Chapter 3, as follows:

#### Original

"To estimate the effects of persistent contrails on ground-level UV, calculations assume (consistent with the maxima in Figure 3-16) 10% sky coverage at an altitude of 11 km. The scattering optical thickness of contrails varies from 0.1 to 0.5. This spread encompasses the range of reasonable values. For latitude 45°N, summer, local noon conditions, the contrail optical thickness of 0.1 corresponds to a reduction in UV<sub>ery</sub> of less than 0.1% relative to clear skies; an optical thickness of 0.5 leads to a reduction of less than 0.5%."

The observed trends in cirrus presented in Chapter 3 include the effects of aviation, as well as any other influences that may be operative. The estimated response of UV<sub>ery</sub> to trends in the cirrus background is based on the following assumptions: The cirrus have a scattering optical thickness of 1.0 and 23% of the land area is covered by cirrus at an altitude of 11km. Starting from this reference condition, a 3% increase in cirrus coverage, appropriate to the United States for the decade 1971–81 (Table 3-5), reduces UV<sub>ery</sub> by less than 0.3% for latitude 45°N, local noon, in summer. Over land areas of the globe removed from major air traffic, the correspondoing increase in cirrus coverage of 0.6% (Table 3-5) leads to a decrease in UV<sub>ery</sub> of less than 0.05%.... [as before]"

#### Replacement

"To estimate the effects of persistent contrails on ground-level UV, calculations assume a 5% area coverage, appropriate to the local maximum over the eastern USA (section 3.4.3), and a contrail optical thickness of 0.3. For latitude 45°N summer, local noon, this scenario leads to a reduction in UV<sub>ery</sub> of 0.2% relative to clear skies. If persistent contrail coverage were to increase to 10%, the corresponding reduction in UV<sub>ery</sub> would be 0.4%.

The observed trends in cirrus presented in Chapter 3 include the effects of aviation, as well as any other influences that may be operative. The estimated response of  $UV_{ery}$  to trends in the cirrus background is based on the following assumptions: The cirrus have a scattering optical thickness of 0.3 and initially 23% of the land area is covered by cirrus at an altitude of 11km. Starting from this condition, an increase of 3.5% per decade in the land area covered by

cirrus, appropriate to the USA in spring (Figure 3-19), leads to a decline in UV<sub>ery</sub> of approximately 0.1% per decade for local noon at latitude 45°N.... [as before]"

#### Chapter 6

Chapter 6 Changes in Response to SPM Revisions

New text is bold.

Executive Summary

Bullet #3

End of the bullet is now:

"Changes in "natural" cirrus clouds caused by aircraft may result in negligible of potentially large radiative forcing, an estimate could fall between 0 and 0.04 Wm-2. Uncertainty estimates, typically ... the range shown. The uncertainty estimate for the total radiative forcing (without additional cirrus clouds) is calculated as the square root of the sums of the squares of the upper and lower ranges of the individual components." (end of bullet)

Bullet #5

middle of bullet reads

"... range for aircraft-induced radiative frocing (without additional cirrus clouds) from +0.13 to +0.28 ..."

end of bullet reads

"... result in a range of total radiative forcing (without additional cirrus clouds) from +0.4 to +0.6 Wm-2."

Bullet #6

end of bullet reads

"...and shifting of routes. An estimate for the radiative forcing from additional cirrus could fall between 0 and 0.16 Wm-2."

Table 6-2, page 30

Aviation Fal Components
line Indirect clouds
replace "not evaluated" by "no best estimate available"

Figure 6-14b use Figure 2a of SPM

Figure 6-15b use Figure 2b of SPM

Figure 6-16, caption end caption now reads
"... of total radiative forcing (without additional clouds)."

Section 6.4.3, paragraph 2 , page 17 end of paragraph now reads

"... comparable to contrail RF. The magnitude of this RF remains very uncertain and it is included in Tables 6-1 and 6-2. An estimate could fall between 0 and 0.04 Wm-2."

Section 6.4.4, paragraph 4, page 17 insert new paragraph

"The radiative forcing from additional cirrus clouds in 2050 is even more uncertain than for 1992 (see Chapter 3). An estimate could fall between 0 and 0.16 Wm-2 for the 2050 scenario FESGa (tech 1) scenario."

Section 6.6.1, paragraph 1, page 21 end of paragraph now reads

"A caveat here is that we are unable to derive a best estimate for the indirect effects from aircraft on "natural" clouds; these effects might be negligible or be comparable to that of contrails. Thus, for 1992 our best estimate is that aviation causes a RF of approximately +.048 Wm<sup>-2</sup> (not including the RF from additional clouds) and is responsible ... alone)."

Section 6.6.1, paragraph 2, page 21 beginning of paragraph now reads "Bar charts for the 2050 atmosphere ... our best estimate is that aviation RF grows to about +0.19 Wm-2 (not including the RF from additional clouds), which is 5% of ..."

Section 6.6.2, paragraph 2, page 21 beginning of paragraph now reads "Uncertainties is estimating ... best value) and a description ("good", "fair", "poor", "very poor") of the level ..."

Section 6.6.2, paragraph 4, page 22 add at the end of the paragraph

"The RFs from additional clouds are highly uncertain and no probability range could be provided."

Section 6.6.2, paragraph 5, page 22 beginning of the paragraph no reads

"The RF uncertainties ... Gaussian statistics. The uncertainty ranges for the totals in Figures 6-14b and 6-15-5 do not represent a 2/3 probability range like for the individual components. The uncertainty estimate for the total radiative forcing (without additional cirrus clouds) is rather calculated as the square root of the sums of the squares of the upper and lower ranges of the individual components. There is a further issue ..."

#### Chapter 7

<u>7.5.4.3</u> 1st two bullets

....8-10% ADD "over the most recent production engine by about 2010" - 2 places Rationale - clarification

#### Page 25

U.S. NASA Advanced Subsonic Technology (AST) Program: Sequential No<sub>x</sub> goals of 50 and 70% reduction below CAEP/2 standards, with comparable reductions at subsonic cruise, engine SFC reduction of 8-10%.

EU-BRITE/EURAM Projects LOW NO<sub>x</sub> I, II, III: goal of NO<sub>x</sub> reduction more than 60% below CAEP/2; engine SFC reduction of 8-10%.

#### Chapter 8

#### Section 8.2.2.5, line 9

"Restricted airspace limits the possibility of managing the airspace efficiently."

has been replaced by

"Restricted airspace does not always allow aircraft to minimize their emissions by direct routing between two points."

Reason for change: Comment by USA (collate comments for draft SPM page 31, 5).

#### Chapter 9

No changes

### Chapter 10

# 1. Page 11, 2nd Paragraph, Line3

Change "6 to 10%" to "6 to 12%".

Reason: Consistency with full discussion of this issue in Chapter 8.

#### 2. Page 17, Line 1

Change "unlikely to exceed 10%" to "up to 10%"

Reason: Consistency with full discussion of this issue in Chapter 8.





IPCC-XVI/Doc. 5, Appendix D

#### STATEMENT ON BEHALF OF ICAO TO THE IPCC PLENARY SESSION

(San Jose, Costa Rica, 15-18 April 1999)

On behalf of the International Civil Aviation Organization (ICAO), I would like to express the Organization's appreciation to the IPCC for the successful completion of the Special Report on Aviation and the Global Atmosphere.

When ICAO requested this report in 1996, we were primarily looking for a better definition of the atmospheric impact of aircraft engine emissions. This report succeeds in providing the most authoritative assessment so far on this subject. It helps to define the issues and to identify the remaining uncertainties.

At the ICAO Assembly in September/October 1998, a resolution was adopted requesting the ICAO Council to study policy options to limit or reduce greenhouse gas emissions from civil aviation, taking into account the findings of this IPCC Special Report and the requirements of the Kyoto Protocol, and to report to the ICAO Assembly at its next ordinary Session in 2001.

I can therefore assure the Panel that its Special Report will be taken fully into account in ICAO's on-going work on aircraft engine emissions.

Thank you.

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INTERGOVERNMENTAL PANEL ON CLIMATE CHANGE

IPCC-XV/Doc. 6, Rev. 1 (18.IV.1999)

FIFTEENTH SESSION San Jose, 15-18 April 1999 **ENGLISH ONLY** 

SYNTHESIS REPORT: POLICY-RELEVANT SCIENTIFIC, TECHNICAL, AND SOCIO-ECONOMIC QUESTIONS

(Submitted by the Chairman)

#### Scientific, technical and socio-economic questions, selected by the Panel

- Q1. What can scientific, technical and socio-economic analyses contribute to the determination of what constitutes dangerous anthropogenic interference with the climate system as referred to in Article 2 of the Framework Convention on Climate Change?
- Q2. What is the evidence for, causes of, and consequences of changes in the Earth's climate since the pre-industrial era?
  - a. Has the Earth's climate changed since the pre-industrial era at the regional and/or global scale? If so, what part, if any, of the observed changes can be attributed to human influence and what part, if any, can be attributed to natural phenomena? What is the basis for that attribution?
  - b. What is known about the environmental, social and economic consequences of climate changes since the pre-industrial era with an emphasis on the last 50 years?
- Q3. What is known about the influence of the increasing atmospheric concentrations of greenhouse gases and aerosols, and the projected human-induced change in climate regionally and globally on:
  - a. the frequency and magnitude of climate fluctuations, including daily, seasonal, inter-annual, and decadal variability, such as the El Nino-Southern Oscillation cycles and others?
  - b. the duration, location, frequency and intensity of extreme events such as heat waves, droughts, floods, heavy precipitation, avalanches, storms, tornadoes, and tropical cyclones?
  - c. the risk of abrupt/non-linear changes in, among others, the sources and sinks of greenhouse gases, ocean circulation and the extent of polar ice and permafrost? If so, can the risk be quantified?
  - d. the risk of abrupt or non-linear changes in ecological systems
- Q4. What is known about the inertia and time-scales associated with the changes in the climate system, ecological systems, and socio-economic sectors and their interactions?
- Q5. What is known about the regional and global climatic environmental, and socioeconomic consequences in the next 25, 50 and 100 years associated with a range of greenhouse gas emissions arising from scenarios used in the TAR (projections which involve no climate policy intervention),:

To the extent possible, evaluate the:

- projected changes in atmospheric concentrations, climate and sea level;
- impacts and economic costs and benefits of changes in climate and atmospheric composition on human health, diversity and productivity of ecological systems, and socio-economic sectors (particularly agriculture and water);

- the range of options for adaptation, including the costs, benefits and challenges; and
- development, sustainability and equity issues associated with impacts and adaptation at a regional and global level..
- Q6. How does the extent and timing of the introduction of a range of emissions reduction actions determine and affect the rate, magnitude and impacts of climate change, and affect the global and regional economy, taking into account the historical and current emissions?
- Q7. What is known from sensitivity studies about regional and global climatic, environmental and socio-economic consequences of stabilizing the atmospheric concentrations of greenhouse gases (in carbon dioxide equivalents), at a range of levels from today's to double that level or more, taking into account to the extent possible the effects of aerosols? For each stabilization scenario, including different pathways to stabilization, evaluate the range of costs and benefits, relative to the range of scenarios considered in question 5, in terms of:
  - projected changes in atmospheric concentrations, climate and sea level, including changes beyond 1.00 years;
  - impacts and economic costs and benefits of changes in climate and atmospheric composition on human health, diversity and productivity of ecological systems, and socio-economic sectors (particularly agriculture and water);
  - the range of options for adaptation, including the costs, benefits and challenges;
  - the range of technologies, policies and practices that could be used to achieve each of the stabilization levels, with an evaluation of the national and global costs and benefits, and an assessment of how these costs and benefits would compare, either qualitatively or quantitatively, to the avoided environmental harm that would be achieved by the emissions reductions;
  - development, sustainability and equity issues associated with impacts, adaptation and mitigation at a regional and global level.
- Q8. What is known about the interactions between projected human-induced changes in climate and other environmental issues, e.g., urban air pollution, regional acid deposition, loss of biological diversity, stratospheric ozone depletion, and desertification and land degradation? What is known about the environmental, social and economic costs and benefits and implications of these interactions for integrating climate change response strategies in an equitable manner into broad sustainable development strategies at the local, regional and global levels?
- Q9. What is known about the potential for, and costs and benefits of, and timeframe for reducing greenhouse gas emissions?
  - a. What would be the economic and social costs and benefits, and equity implications of options for policies and measures, and the mechanisms of the Kyoto Protocol, that might be considered to address climate change regionally and globally?

- b. What kind of economic and other policy options might be considered to remove existing and potential barriers and to stimulate private- and public-sector technology transfer and deployment among countries, and what effect might these have on projected emissions?
- c. What portfolios of options of research and development, investments, and other policies might be considered that would be most effective to enhance the development and deployment of technologies that address climate change?
- d. How does the timing of the options contained in a, b and c affect associated economic costs and benefits, and the atmospheric concentrations of greenhouse gases over the next century and beyond?
- Q10. What are the most robust findings and key uncertainties regarding attribution of climate change and regarding model projections of:
  - future emissions of greenhouse gases and aerosols;
  - future concentrations of greenhouse gases and aerosols;
  - future changes in regional and global climate;
  - regional and global impacts of climate change; and
  - cost and benefits of mitigation and adaptation options?

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(Distributed during the Fifteenth Session of IPCC and not attached here in order to save bulk. The list is available upon request from the IPCC Secretariat)

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